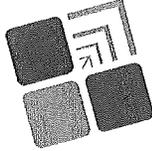


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PATENT NO. 1-2013 502246

Having complied with the provisions of Republic Act No. 8293 and its regulations, this Office grants **LETTERS PATENT** for an

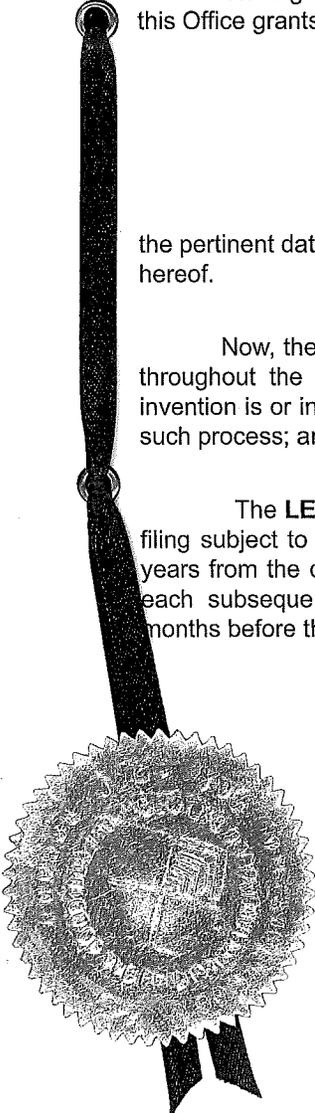
INVENTION

the pertinent data, specification and claim/s of which are hereunto annexed and made part hereof.

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Lolibeth R. Medrano
ATTY. LOLIBETH R. MEDRANO
Director of Patents

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NANOCOMPOSITES
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ABSTRACT

A nanocomposite material comprises polyethylene terephthalate (PET) as a base polymer and a nanoparticle that increases the strength of the base polymer.

Industry / Technical Field	2013 - Manufacture of plastics and synthetic rubber in primary forms				
No. of Claim(s)	6	claim/s	Drawing(s)	8	sheet/s
Multiple Dep. Claim(s)	0	claim/s	Specification and Claim(s)	16	page(s)
Specie/s Claim(s)	0	claim/s	Sequence Listings	0	page(s)
Total No. of Claim(s)	6	claim/s			

ABSTRACT

POLYETHYLENE TEREPHTHALATE-GRAPHENE NANOCOMPOSITES

A nanocomposite material comprises polyethylene terephthalate (PET) as a base polymer and a nanoparticle that increases the strength of the base polymer.

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SPECIFICATION

POLYETHYLENE TEREPHTHALATE-GRAPHENE NANOCOMPOSITE

FIELD OF THE INVENTION

This disclosure is related to polymers in general and, more specifically, 5
strengthening of polymers by introduction of nanomaterials.

BACKGROUND OF THE INVENTION

Polymers have become an ever-present component of modern life. Products
that used to be made from metals and other heavy materials using labor and/or energy
10 intensive processes can now be made less expensively, more quickly, and with less
energy input. Automotive, medical, information technology, and health care are but a
small sampling of the industries that make ubiquitous use of polymers.

Making a device from a polymer generally results in an item that is lighter in
15 weight than an equivalent item made from a structural metal or other material.
However, with decrease in weight generally comes a decrease in strength. The
decrease in strength may be a decrease in ability to withstand torsion, shearing,
compression, pressure, or another force without buckling, breaking, or deforming to
an unacceptable degree.

20 What is needed is a system and method for addressing the above, and related,
issues.

SUMMARY OF THE INVENTION

The invention of the present disclosure, in one aspect thereof, comprises a
25 nanocomposite material. The material contains a base polymer including
polyethylene terephthalate (PET), and a nanoparticle that increases the strength of the
base polymer. The nanoparticle may comprise graphene nanoplatelets that may be
prepared by exfoliation. The graphene nanoplatelets may have an average diameter of
5 micrometers. They may comprise about two percent by weight of the
30 nanocomposite material. In other embodiments graphene nanoplatelets may comprise
about five, ten, or fifteen percent by weight of the nanocomposite material. In another
embodiment the percentage by weight may range from about two to about fifteen.

The invention of the present disclosure, in another aspect thereof comprises a method of producing a nanocomposite material. The method includes providing polyethylene terephthalate (PET) as a base polymer, and providing a nanoparticulate substance. The method also includes compounding the base polymer with the nanoparticulate material to form a masterbatch product, and injection molding the masterbatch product. The nanoparticulate substance may comprise graphene. The graphene may be prepared by exfoliation.

In one embodiment the nanoparticulate substance may comprises about two percent by weight of the nanoparticle substance material in the masterbatch product. In other embodiments the percentage by weight may be about five, ten, or fifteen. In some embodiments it may range from about two percent to about fifteen percent.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1: SEM micrographs of xGnP powder sample (a) 1000 x; (b) 11000 x.

Figure 2: Tensile tested samples of PET (A) and PET-15% xGnP Nanocomposite (B).

Figure 3: SEM micrographs of (a) PET, PET-xGnP Nanocomposite (b) 2% wt, (c) 5% wt, (d) 10% wt with micro voids, (e) 10% wt at 5k x and (f) 15% wt samples.

Figure 4: TEM micrographs showing dispersion of the nanoplatelets in PET-15% xGnP nanocomposite; bright field images (a) 10k x, (b) 20k x and (c) dark field image @ 60k x.

Figure 5: Comparison of XRD patterns of xGnP powder with PET control and nanocomposite.

Figure 6: Comparison of Stress-Strain curves of PET and PET-xGnP Nanocomposites.

Figure 7: Young's Modulus of PET Nanocomposites in comparison with control PET.

Figure 8: Modulus of PET-graphene nanocomposites from predictions compared with experimental results.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Base polymers may have a number of inherent characteristics relating to their appearance, color, hardness, strength, and any number of other measurable properties. In some cases, a base polymer is mixed with a predetermined amount of a material that will alter the properties of the base polymer. The material added to the base polymer is referred to as a masterbatch and the process of adding the masterbatch to the base polymer in such a way as to alter its properties may be referred to as a masterbatch process.

Polymers may also be prepared in a masterbatch process where further processing will create a completed product. For example, a polymer or a nanocomposite polymer, as described below, can be prepared into masterbatch pellets that are later molded into a completed product (e.g., by injection molding or other suitable processes).

In some embodiments of the present disclosure, nano-scale particles are blended or combined with a polymer into masterbatch pellets that may then be injection molded into completed products. The nano-scale material within the polymer of the masterbatch will only interact to alter the properties of the base polymer on a nano-scale, which provides some benefit over larger reinforcement mechanisms. Based on the Griffith crack theory and Weibull analysis, smaller particles are stronger and can be more effective in reinforcing the matrix compared to their larger counter parts. Also, with their increased surface area and high aspect ratios, lower volumes of smaller reinforcements can provide equivalent reinforcement.

Nanoparticle selection may be based on the required properties, interaction with the matrix, processing, cost, and application of the final composite. Several nanoparticles such as organoclays (MMT), metal nanoparticles (Al, and Ag), metal oxides (ZnO, silica), and carbon derivatives (CNT's, Fullerenes, Graphite oxide, graphene) may be useful in the preparation of polymer nanocomposites. In another

embodiment, polyethylene terephthalate (PET)-graphene is utilized to create polymer nanocomposites. The material is appropriate for injection and blow molding, and other processing and manufacturing techniques.

5 Graphene (comprising a monolayer of carbon atoms) has excellent mechanical (modulus – 1060 GPa, Strength – 20 GPa) and electrical properties (50×10^{-6} I cm), compared with other nanoparticles. Graphene can disperse well in base polymers through the aid of surface treatments. Exfoliated Graphene Nanoplatelets (xGnP) are multiple graphene layers stacked to form platelets.

10

Regarding the specific combination of PET with graphene (e.g., as in certain embodiments of the present disclosure), PET is a widely used polymer but has heretofore been overlooked in the laboratory studies owing in part to the fact that it is relatively sticky and has a relatively high melting point. Furthermore, the constituent mer units of PET exhibit a polarity that can result in a dissolution of certain polar nanostructures when the products are mixed. It should be noted that graphene is a polar substance, meaning it might be expected to dissolve or lose its structural integrity in the presence of PET. However, as disclosed herein, graphene can and does maintain integrity sufficiently to favorably alter the physical characteristics of PET.

20

In one embodiment, PET-Exfoliated graphene nanocomposites are prepared using injection molding through a masterbatch process, where graphene nanoplatelets are compounded with PET to form masterbatch pellets. These experimental results were compared to theoretical performances using Halpin-Tsai and Hui-Shia models.

25

Continuous fiber composites are often assessed based on a simplified empirical formula, referred to as the 'Rule of Mixtures'. In the case of nanoreinforcements, the 'Rule of Mixtures' either under-estimates or over-estimates the final properties. This can be because of their low volume fractions and often greater disparity of properties between the matrix and reinforcement.

30

For nanocomposites, the special interaction between the nanoplatelets and matrix is important in determining their elastic behavior. High aspect ratios of the

nanoplatelets combined with complex mechanisms at the matrix-reinforcement interface complicate nanocomposite property estimation. Therefore, traditional micromechanical models have been modified to estimate the mechanical properties for nanoparticles.

5

Experiment 1

Materials

In one demonstration, commercially available Polyethylene Terephthalate of 0.80 dl/g (I.V.) called oZpet™ (GG-3180 FGH, by Leading Synthetics, Australia) was used. Exfoliated graphene nanoplatelets, of xGnP®-M-5 grade (99.5% carbon) of average diameter 5 Pm as shown in Figure 1, were obtained as dry powder from XG Sciences, Inc. (East Lansing, MI). Graphene nanoplatelets (xGnP) and the as received PET resin were compounded into PET-xGnP masterbatch pellets by Ovation Polymers (Medina, OH) using their ExTima™ technology.

15

Graphene nanoplatelets are hydrophobic in nature; effective dispersion of graphene results from the interaction of oxygen and hydroxyl functional groups (formed due to the exposure of raw carbon during the fracture of platelets) on their surface with polar groups of PET [19]. Master batch pellets obtained from the above process were used as raw material for the injection molding process. PET control samples and PET-xGnP nanocomposite tensile bars of increasing weight fractions (2%, 5%, 10%, and 15%) were injection molded at 250°C–260°C temperature, following type – I specifications of ASTM D 638 (hereby incorporated by reference).

25

Characterization Techniques

The produced nanocomposite tensile bars (shown in Figure 2) were tested using a universal materials tester (Instron 5582 model). Tests followed the ASTM D 638 standard at a cross-head speed of 5 mm/min. A non-contact Laser Extensometer (Electronic Instrument Research, Model LE – 05) was used to record displacement free of machine compliance. The laser extensometer records displacement of reflections from the self-reflective stickers placed at the gauge length.

30

Three composites of each kind were tested along with neat PET specimens for comparison. The laser displacement and load from the crosshead were simultaneously recorded at a time interval of 100 ms.

5 Dispersion of the graphene nanoplatelets was observed using Electron Microscopy (SEM, TEM) and X-ray Diffraction. SEM micrographs of the xGnP powder and the fracture surfaces of the PET, and PET-Exfoliated graphene nanocomposites were obtained using a Hitachi S-4800.

10 The PET control and the nanocomposite with lower graphene content were Au/Pt coated using a Balzers Union MED 010 coater. Thin sections (thickness of 70 nm) used for transmission imaging were microtomed using Reichert-Jung Ultracut E microtome. Transmission micrographs were collected using a JEOL JEM-2100 Microscope, with an operating voltage of 200 kV. X-ray diffraction patterns were
15 collected in reflection, on a Bruker D8 Discovery diffractometer, using Cu K α ($\lambda = 1.54054 \text{ \AA}$) radiation. XRD scans of the xGnP powder along with the PET samples were collected at 40 kV and 40 mA with an exposure time of 120 sec.

Results

20 Scanning Electron Microscopy

SEM micrographs of the xGnP dry powder shown in Figure 1(b) shows an agglomerated platelet, with each platelet comprised of numerous graphene layers stacked together. These platelets were of 5 to 10 Pm average diameter and several nanometers (5 – 20 nm) in thickness.

25

Micrographs (Figure 3 (b), (c), (d), (e), and (f)) of the PET-graphene nanocomposite failure surfaces showed that the graphene nanoplatelets remained intact and were dispersed into the PET matrix, with no signs of agglomeration. The micrographs elucidate that the failure of the nanocomposite under tensile loading was
30 through coalescence of brittle micro-fractures. The presence of micro voids and the initiation of cracks from these voids can be noticed from the SEM micrographs of nanocomposite samples with 5% and 10% graphene nanoplatelet weight fraction. SEM micrographs show the nanoplatelets were projecting out of the fracture surfaces. They appear to be deformed and mixed with the matrix.

Transmission Electron Microscopy

The performance of nanocomposites depends on dispersion of the nanoparticles. TEM micrographs were collected from 70 nm thin sections to gain better understanding of nanoplatelet dispersion. The transmission micrographs shown in Figure 4, revealed the graphene nanoplatelets remained intact as platelets and were dispersed into the polymer matrix, individual dispersion of graphene sheets (complete exfoliation) was not found. Micrographs were collected in both bright and dark field modes. As the nanoplatelets consist of several individual graphene sheets, the 70 nm thick sections used may contain layers of polymer and graphene platelets, therefore dark field mode was advantageous. Graphene is more conductive than the polymer matrix so, in transmission imaging, this difference provides contrast.

X-ray Diffraction

XRD patterns collected from the dry xGnP powder, PET control, and PET-xGnP nanocomposite are shown in Figure 5. The diffraction pattern for the graphene nanoplatelets shows the graphene-2H characteristic peaks at 26.6° ($d = 3.35 \text{ \AA}$) and 54.7° ($d = 1.68 \text{ \AA}$) 2θ . Slight broadening of the peak at 26.6° 2θ indicates the presence of platelets with different dimensions. A broad amorphous peak from the PET control sample was observed around 19.2° 2θ . This confirms the control sample has an amorphous microstructure. As shown in Figure 5, the intensity of the graphene peak at 26.6° 2θ increased with the weight fraction of the nanoplatelets. No peak shift was observed. This along with the TEM micrographs confirms that the nanoplatelets were not substantially exfoliated [20]. Further, the diffraction pattern confirms the PET matrix was amorphous as expected, at least within 0.2 mm of the surface.

Mechanical Behavior

Stress-Strain curves for the PET control and nanocomposite were plotted as shown in Figure 6, based on the data collected from the tensile tests. The addition of graphene nanoplatelets has increased the performance (modulus) over the pure PET up to 300% and follows an exponential trend as shown in Figure 7. While primarily linear behavior is observed, a hump in the stress strain curve for the 15% nanocomposite, suggests an additional toughening mechanism for this composite over the other lower volume fraction. This may be due to a reinforcement-reinforcement interaction.

With the objective of understanding the effectiveness of graphene nanoplatelets as reinforcement, micromechanical models such as the Halpin-Tsai and the Hui-Shia models were used to determine the theoretical elastic mechanical performance of this PET-graphene nanocomposite. Micromechanical models estimate the properties based on assumptions, such as perfect reinforcements, homogenous dispersion, or consistent orientation of the reinforcements. An ideal case for superior performance of the graphene nanocomposite is to have defect free graphene sheets (monolayers) of the required length well dispersed in to the matrix and orientated along the direction of maximum load.

10

Gong et al. [16] have determined a required length for graphene platelets (>30 μm) to be effective as reinforcement. Mechanical properties of the graphene platelets such as stiffness and Poisson's ratio decrease with increase in the number of comprising layers, as observed by Georgantinos et al. [22] with molecular simulations. They estimated that the stiffness of platelet comprising five layers decreases by 15% compared to single layer graphene, and they also noticed that the properties of the graphene differ based on their orientation. Modulus of the graphene platelet (flake) has been reported as 0.795 TPa [23].

20

Table 1: Properties of Graphene and PET used for theoretical predictions

Graphene Platelet Properties				PET Properties
Average Length/Diameter (D) nanometers (min/max)	Average Thickness (t) nanometers (min/max)	Aspect Ratio (D/t)	Modulus (GPa)	Modulus (GPa)
300 (28/730)	16 (3/28)	18.75	795	2.7

In the present work, graphene platelets with a wide range of length (or diameter of the platelets present in the out of plane direction) and thickness were observed from the TEM micrographs. The change of particle size from the larger (5 μm) dry graphene powder to the smaller (300 nm), size as observed in the TEM images (Figure 4) can be due to shearing during the compounding and molding process. Table 1 shows the average size of the platelets with minimum and maximum values. These platelet properties were then used in determining the performance range of the nanocomposites, based on the micromechanical models (error bars shown

30

in Figure 8). Predicted moduli of the nanocomposite from the micromechanical models were plotted against the experimental results, shown in Figure 8. The modulus estimated through the Halpin-Tsai model is higher compared to the experimental value. The Halpin-Tsai model estimates the modulus of the composite with platelets being aligned along the loading direction. However, the platelets were not generally aligned in the direction of the loading. In addition, extremely high stiffness of the reinforcement compared with the matrix ($>250x$), make difficult accurate predictions through the Halpin-Tsai model [22]. The Hui-Shia model shows the best agreement. The Hui-Shia model estimates elastic modulus of the nanocomposite with platelets loaded both in parallel (axes 1 and 2) and perpendicular directions (along axis 3) as shown in Figure 8. This model is valid for wide range of stiffness ratios over the Halpin-Tsai model [22].

In addition, stress transfer between the matrix to reinforcement in composites is critical in controlling their mechanical behavior. For example, graphene nanocomposites in PMMA matrix, the stress transfer between the matrix and graphene platelets and graphene-graphene sheets were shown dominated by weak van der Waals forces, reducing the potential mechanical performance. However, micromechanical models do not account these changes in stress transfer behavior. This results a deviation from the experimental values.

The current experimental modulus showed reasonable agreement with theoretical predictions. This is in spite of the broad range in platelet geometry (see table). The best case was the Hui-Shia model with the modulus parallel to the platelet (direction - 3). This suggests reasonable effectiveness of the reinforcement. With the reinforcement distributed randomly, behavior between the two Hui-Shia predictions of parallel and perpendicular might be expected. Further investigation to the randomness of the platelet distribution is needed for additional assessment. Even stiffer modulus enhancement could be expected if the platelets were of higher aspect ratio as the modulus predicted are sensitive to the aspect ratio. This is a reasonable goal with continued improvement in the production of the additives and their processing with the matrix. Clearly, nanoscale reinforcement is a benefit to the enhancement of mechanical properties.

Furthermore, from X-ray diffraction, the addition of graphene platelets does not show an impact on the final crystallization of PET. Economies of scale can improve the cost of any of these additives. More understanding of the effect nanoplatelets have on the injection molding process can help improve the composite properties further. For example, many different screw types are available for injection molding and need to be explored for their advantages in mixing and dispersion of additives.

Conclusions from Tests

The present disclosure demonstrates that grapheme nanoplatelets are effective in achieving improved strength characteristics (such as elastic modulus) for Poly ethylene Terephthalate, or PET. Injection molding of masterbatch pellets is one successful method for preparation of PET-Exfoliated graphene (xGnP) nanocomposites of weight fractions from 2-15%. Comparison with simple mechanical models suggests their superior performance. The stiffness may not only dependent on the reinforcement stiffness, but also on its aspect ratio and the dominating mechanism for interfacial stress transfer between matrix and reinforcement. There is also some indication that the reinforcement-reinforcement interaction plays an important role as the volume fraction exceeds 10%.

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20 Thus, the present invention is well adapted to carry out the objectives and attain the ends and advantages mentioned above as well as those inherent therein. While presently preferred embodiments have been described for purposes of this disclosure, numerous changes and modifications will be apparent to those of ordinary skill in the art. Such changes and modifications are encompassed within the spirit of 25 this invention as defined by the claims.

CLAIMS

1. A nanocomposite material consisting essentially of:
 - 5 a base polymer including polyethylene terephthalate (PET); and
exfoliated graphene nanoplatelets compounded into the PET to increase the
strength of the base PET, the graphene nanoplatelets comprising from ten to
fifteen percent by weight of the nanocomposite material, wherein the graphene
10 nanoplatelets have an average diameter of 5 micrometers.
 2. The material of claim 1, wherein the graphene nanoplatelets comprise ten
percent by weight of the nanocomposite material.
 - 15 3. The material of claim 1, wherein the graphene nanoplatelets comprise 15
percent by weight of the nanocomposite material.
 4. A method of producing a nanocomposite material consisting essentially of:
 - 20 providing polyethylene terephthalate (PET) as a base polymer; providing an
exfoliated graphene nanoparticulate material, the exfoliated graphene nanoparticulate
material comprising from ten to fifteen percent by weight of the nanocomposite
material;
 - 25 compounding the base polymer with the exfoliated graphene nanoparticulate
material to form a masterbatch product; and
injection molding the masterbatch product, wherein the graphene
nanoparticulate material have an average diameter of 5 micrometers.
 - 30 5. The method of claim 4, wherein providing the graphene nanoparticulate
material further comprises providing ten percent by weight of the nanoparticle
material in the masterbatch product.

6. The method of claim 4, wherein providing the graphene nanoparticulate material further comprises providing fifteen percent by weight of the nanoparticle material in the masterbatch product.

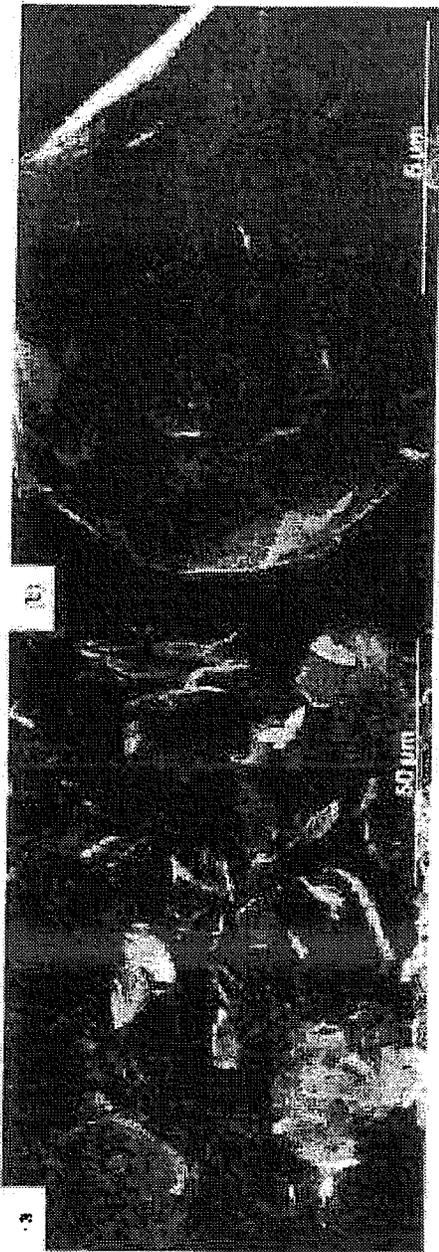


Figure 1B

Figure 1A

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Applicant

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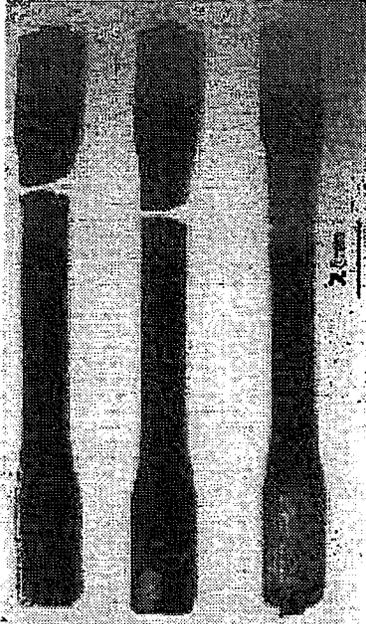


Figure 2B

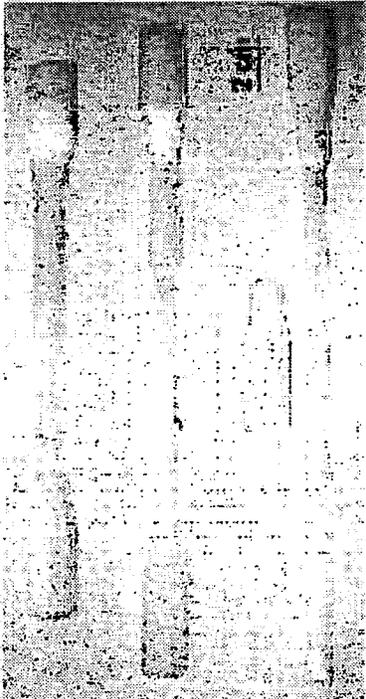


Figure 2A

17 SEP 11 10:00
[Handwritten signature]

THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY

Applicant

Del Rosario Raboca Gonzales Grasparril

By: [Handwritten Signature]
Atty. Daphne Ruby E. Grasparril

Figure 3A

Figure 3B

Figure 3C

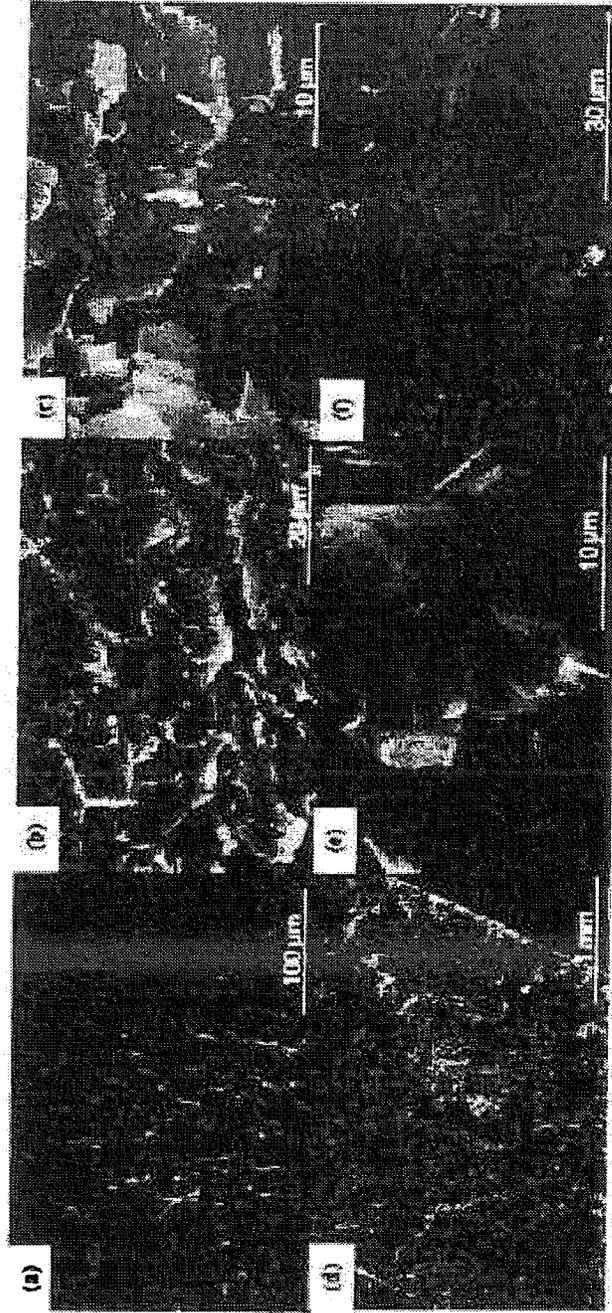


Figure 3D

Figure 3E

Figure 3F

THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY

Applicant

Del Rosario Rahoca Gonzales Grasparil

By: *Daphne Ruby B. Grasparil*
 Atty. Daphne Ruby B. Grasparil



Figure 4A

Figure 4B

Figure 4C

17 FEB 11 12:30
[Handwritten signature]

THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY
Applicant

Del Rosario Raboca Gonzales-Grasparil

By: *[Handwritten signature]*
Atty. Daphne Ruby B. Grasparil

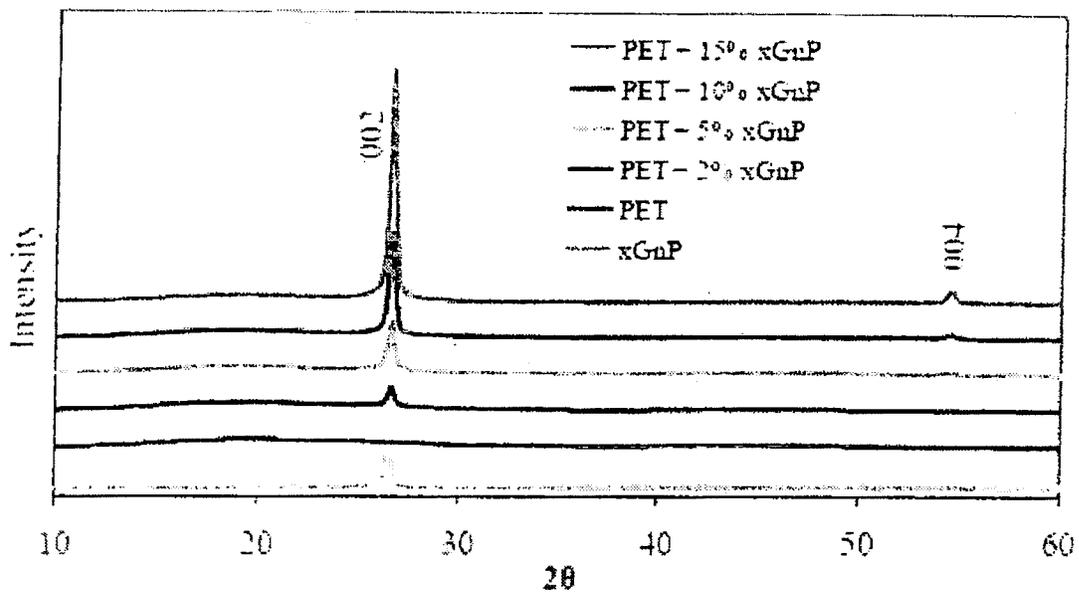


Figure 5

THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY
 Applicant

Del Rosario Raboca Gonzales Grasparil

By: *Daphne Ruby B. Grasparil*
 Atty. Daphne Ruby B. Grasparil

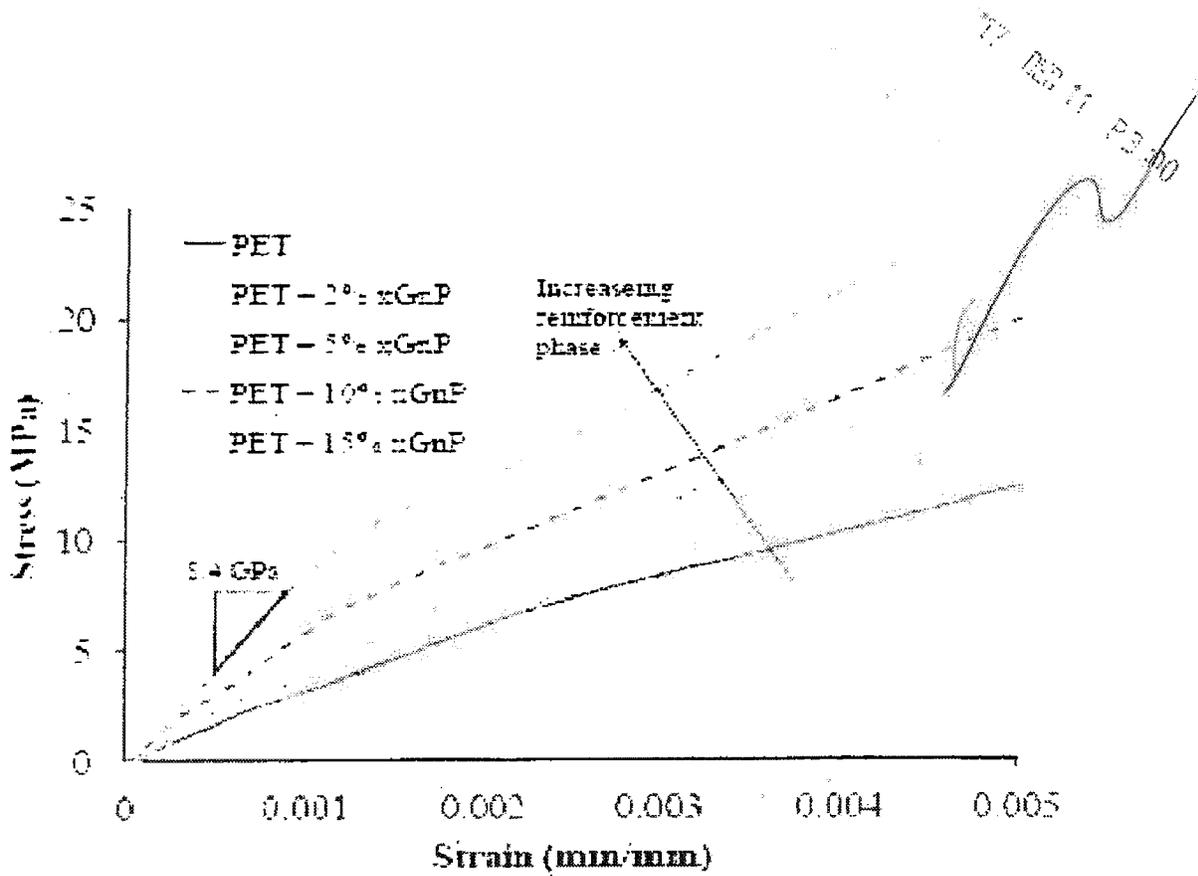


Figure 6

**THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY**

Applicant

Del Rosario Raboca Gonzales Grasparil

By: *Daphne Ruby B. Grasparil*

Atty. Daphne Ruby B. Grasparil

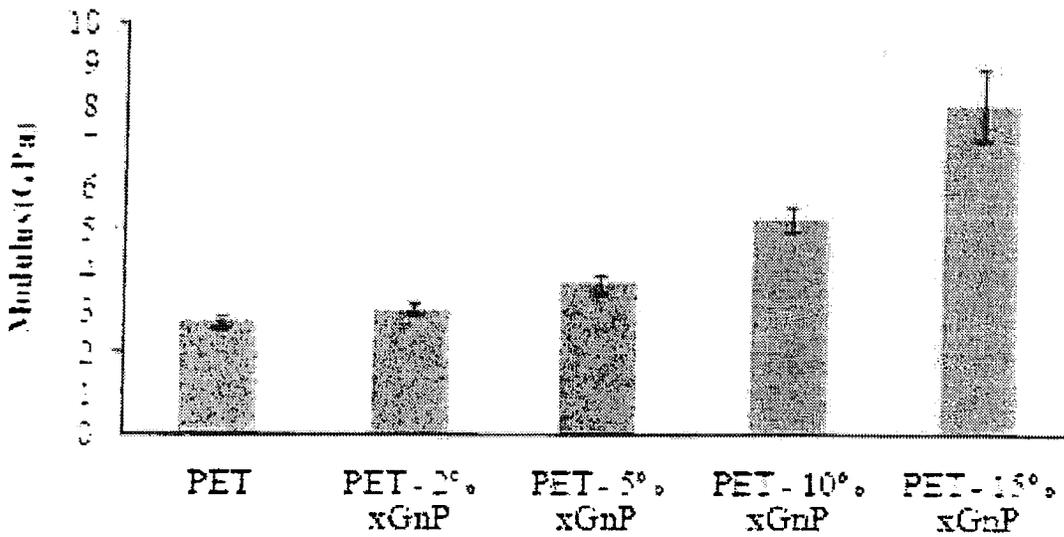


Figure 7

THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY
 Applicant

Del Rosario Raboca Gonzales-Grasparil

By: Daphne Ruby B. Grasparil
 Atty. Daphne Ruby B. Grasparil

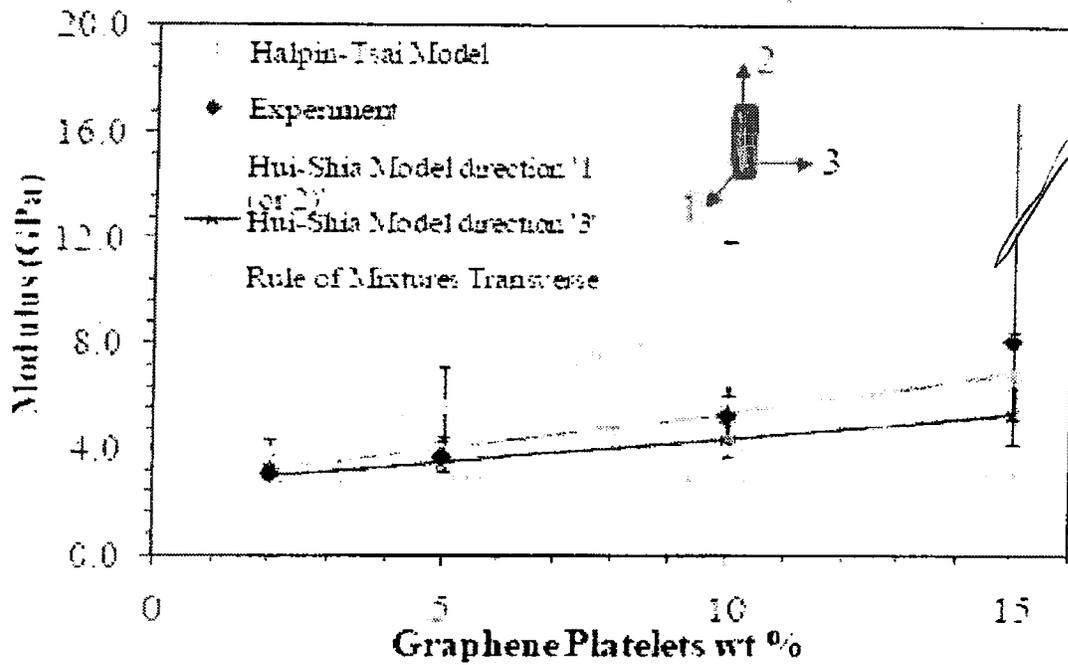


Figure 8

**THE BOARD OF REGENTS OF
OKLAHOMA STATE UNIVERSITY**

Applicant

Del Rosario Raboca Gonzales-Grasparil

By: *Daphne Ruby B. Grasparil*
Atty. Daphne Ruby B. Grasparil